

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e application of:

Brasch et al.

Appl. No. 09/695,065

Filed: October 25, 2000

For:

Methods of Manipulating and

**Sequencing Nucleic Acid Molecules Using Transposition** 

and Recombination

Confirmation No. 1682

Art Unit:

1634

Examiner:

Lu, Frank W.M.

Atty. Docket: 0942.5000001/RWE/BJD/T-M

## Fifth Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each document is provided.

The numbering on this Fifth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Fourth Supplemental Information Disclosure Statement filed October 10, 2003, in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior

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art, and/or to prove that this information may not be enabling for the teachings purportedly

offered.

This statement should not be construed as a representation that a search has been made,

or that information more material to the examination of the present patent application does not

exist. The Examiner is specifically requested not to rely solely on the material submitted

herewith.

This Information Disclosure Statement is being filed more than three months after the

U.S. filing date AND after the mailing date of the first Office Action on the merits, but before

the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes

prosecution in the application. Attached is our PTO-2038 Credit Card Payment Form in the

amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the Examiner initial and return a copy of the enclosed

PTO-1449, and indicate in the official file wrapper of this patent application that the documents

have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency,

or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono

Attorney for Applicants

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Date:\_

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Washington, D.C. 20005-3934

(202) 371-2600 228762\_1.DOC

| Page 1 of 4  |               |             |  |               |  |         |           |             |  |
|--|---------------|-------------|--|---------------|--|---------|-----------|-------------|--|
|  |               |             | 141  |               | ATTY. DOCKET NO.  APPLICATION NO.  |         |           |             |  |
| FORM PTO-1449  |               |             |  |               | 0942.5000001/RWE/BJD/T-M 09/695,065  |         |           |             |  |
|  |               |             |  | 2004          | FIRST NAMED INVENTOR Brasch et al.   |         |           |             |  |
|  | FIFTH         | SUP         | PLEMENTAL JUN 2 3  | 2004          | FILING DATE  | ART     | INIT      |             |  |
| <u>INFORM</u>  | <u>MATION</u> | <u>DISC</u> | LOSURE STATEME   |               | October 25, 2000   | 1634    | J. 11. 1  |             |  |
| PATENT DOCUMENTS   |               |             |  |               |  |         |           |             |  |
| EXAMINER INITIAL   |               | DC          | CUMENT NUMBER  | DATE          | NAME   | CLASS   | SUB-CLASS | FILING DATE |  |
|  | AA            |             |  |               |  |         |           |             |  |
|  | AB            |             |  |               |  |         |           |             |  |
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|  | АН            |             |  |               |  |         |           | 4           |  |
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|  | AJ            |             |  |               |  |         |           |             |  |
|  | AK            |             |  |               |  | -       |           |             |  |
|  |               |             | F  | OREIGN PA     | TENT DOCUMENTS   |         |           |             |  |
| EXAMINER<br>INITIAL  |               | DC          | CUMENT NUMBER  | DATE          | COUNTRY  | CLASS   | SUB-CLASS | TRANSLATION |  |
| INITIAL  | AL            |             | OOMENT NOMBER  | DATE          | COUNTY   | CLASS   | 30B-CLASS | Yes         |  |
|  | АМ            |             |  |               | -  |         |           | Yes<br>No   |  |
|  | AN            |             |  |               |  |         |           | Yes         |  |
|  | AO            |             |  |               |  |         |           | No<br>Yes   |  |
|  | AP            |             | <del></del>  |               |  |         |           | No<br>Yes   |  |
| e  | .L.           | <u> </u>    | OTHER (Includ  | ing Author,   | Title, Date, Pertinent Pages   | etc.)   | L         | No .        |  |
|  |               |             |  |               |  |         |           |             |  |
|  | AR            | <u>116</u>  | Kuempel, P., et al., "Use of a transposon (Tndif) to obtain suppressing and nonsuppressing insertions of the dif resolvase site of Escherichia coli," Genes Dev. 10:1162-1171, Cold Spring Harbor Laboratory Press (July 1, 1996). |               |  |         |           |             |  |
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| <b>EXAMINER</b> : Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance |               |             |  |               |  |         |           |             |  |
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| 701.67                           | ATTY. DOCKET NO.         | APPLICATION NO. |
|                                  | 0942.5000001/RWE/BJD/T-M | 09/695,065      |
| FORM PTO-1449 JUN 2 2            | FIRST NAMED INVENTOR     |                 |
| ( 2 3 200 L                      | Brasch et al.            |                 |
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| INFORMATION DISCLOSURE STATEMENT | October 25, 2000         | 1634            |

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| EXAMINER<br>INITIAL |      | DC         | CUMENT NUMBER   | DATE  | NAME                                  | CLASS            | SUB-CLASS       | FILING DATE |  |
|                     | AA   |            |   |   |                                       |                  |                 |             |  |
|                     | АВ   |            |   |   |                                       |                  |                 |             |  |
|                     | AC   |            |   |   |                                       |                  |                 |             |  |
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|                     | AE   | 1111       |   | ,   |                                       |                  |                 |             |  |
|                     | AF   |            |   |   |                                       |                  |                 |             |  |
| -                   | AG   |            |   |   |                                       |                  |                 |             |  |
|                     | АН   |            |   |   |                                       |                  |                 |             |  |
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|                     | AJ   |            |   |   |                                       |                  |                 |             |  |
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| EXAMINER<br>INITIAL |      | DC         | CUMENT NUMBER   | DATE  | COUNTRY                               | CLASS            | SUB-CLASS       | TRANSLATION |  |
| ,                   | AL   |            |   |   |                                       |                  | 000 00 100      | Yes         |  |
|                     | . AM |            |   |   |                                       |                  |                 | Yes<br>No   |  |
|                     | AN   |            |   |   |                                       |                  |                 | Yes         |  |
|                     | AO   |            |   |   |                                       |                  |                 | No<br>Yes   |  |
|                     | AP   |            |   |   |                                       |                  |                 | No<br>Yes   |  |
|                     |      |            | OTHER (Include  | ling Author   | , Title, Date, Pertinent              | Pages, etc.)     | <u> </u>        | No_         |  |
|                     | AR   | <u>117</u> | Shuman, S., "Novel Approach to Molecular Cloning and Polynucleotide Synthesis Using Vaccina DNA Topoisomerase," <i>J. Biol. Chem. 269</i> :32678-32684, The American Society for Biochemistry and Molecular Biology, Inc. (1994). |   |                                       |                  |                 |             |  |
|                     | AS   | 117        | recombination in  | edrich, E.L., et al., "Topoisomerase IV, not gyrase, decatenates products of site-specif<br>bination in <i>Escherichia coli</i> ," <i>Genes Dev. 11</i> :2580-2592, Cold Spring Harbor<br>atory Press (1997). |                                       |                  |                 |             |  |
|                     | АТ   | 117        | Institut Pasteur \http://www.paste  | Website, Intreur.fr/rechero   | oduction:<br>che/unites/pmtg/integ/in | tro.html (access | sed 6/19/200    | 93).        |  |
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| 10116                            | ATTY. DOCKET NO.         | APPLICATION NO.                       |
| 6                                | 0942.5000001/RWE/BJD/T-M | 09/695,065                            |
| FORM PTO-1449 JUN 3              | FIRST NAMED INVENTOR     | · · · · · · · · · · · · · · · · · · · |
| \ 3 m                            | Brasch et al.            |                                       |
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**U.S. PATENT DOCUMENTS** EXAMINER INITIAL DOCUMENT NUMBER DATE NAME CLASS SUB-CLASS FILING DATE AΑ AB AC AD AE AF AG AH ΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS **EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-CLASS **TRANSLATION** Yes AL No Yes ΑM No Yes AN No Yes AO No Yes AP No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Institut Pasteur Website, Figure 1: http://www.pasteur.fr/recherche/unites/pmtg/integ/fig1.html AR 118 (accessed 6/19/2003). Institut Pasteur Website, Figure 2: http://www.pasteur.fr/recherche/unites/pmtg/integ/fig2.html AS 118 (accessed 6/19/2003). Institut Pasteur Website, Figure 3: http://www.pasteur.fr/recherche/unites/pmtg/integ/fig3.html AT <u>118</u> (accessed 6/19/2003). **EXAMINER** DATE CONSIDERED

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| ATTY. DOCKET NO.<br>0942.5000001/RWE/BJD/T-M                  | APPLICATION NO.<br>09/695,065 |
| FORM PTO-1449 FIRST NAMED INVENTOR                            | 03/033,003                    |
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| INFORMATION DISCLOSURE STATEMENT FILING DATE October 25, 2000 | ART UNIT<br>  1634            |

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|                     | AA       |     |                                   |  |                            |             |           |             |  |
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|                     | AL       |     |                                   |  |                            |             |           | Yes<br>No   |  |
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|                     | AN       |     |                                   |  |                            |             |           | Yes<br>No   |  |
|                     | AO       |     |                                   |  |                            |             |           | Yes<br>No   |  |
|                     | AP       |     |                                   |  |                            |             |           | Yes<br>No   |  |
|                     |          |     | OTHER (Include                    | ling Author  | , Title, Date, Pertinent P | ages, etc.) |           | 0 -         |  |
|                     | AR       | 119 | Institut Pasteur \(accessed 6/19/ | Institut Pasteur Website, Main page: http://www.pasteur.fr/recherche/unites/pmtg (accessed 6/19/2003). |                            |             |           |             |  |
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